

09-10-02

MP/14 #3

PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

13

Application Number

10/057,198

Filing Date

October 26, 2001

First Named Inventor

Peter M. LLOYD

Group Art Unit

3736

Examiner Name

To Be Assigned

Attorney Docket No.

509032001600

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form + copy for fee processing - 2 pages

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declarations

☒ Extension of Time Request, Four (4) months - 1 page

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/ Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53 - 1 page

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☒ Power of Attorney, Revocation Change of Correspondence Address - 3 pages

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

1. Declaration for Utility Patent Application - 2 pages
2. Statement Under 37 C.F.R. §3.73(b) with copy of Assignment - 3 pages
4. Return postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

or

Individual Name

Richard R. Eckman (Registration No. 42,504)

Signature

Date

September 6, 2002

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this paper or fee is being deposited on September 6, 2002 with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 as Express Mail Label No. EV093220236US and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.


Date

9/6/02

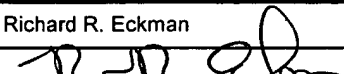
Tamara Alcaraz

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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<div><h1>FEE TRANSMITTAL FOR FY 2002</h1><p>Patent fees are subject to annual revision.</p></div>		Complete if Known	
		Application Number	10/057,198
		Filing Date	October 26, 2001
		First Named Inventor	Peter M. LLOYD
		Examiner Name	To Be Assigned
Group Art Unit	3736		
TOTAL AMOUNT OF PAYMENT		(\$) 785.00	Attorney Docket No. 509032001600

METHOD OF PAYMENT				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				3. ADDITIONAL FEES			
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other							
FEE CALCULATION							
1. BASIC FILING FEE							
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid		
101	740	201	370	Utility filing fee			
106	330	206	165	Design filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
114	160	214	80	Provisional filing fee			
SUBTOTAL (1)				(\$) 0.00			
2. EXTRA CLAIM FEES							
				Extra Claims	Fee from below	Fee Paid	
Total Claims 83 - 83 =				0	x 9	=	\$0
Independent Claims 10 - 10 =				0	x 42	=	\$0
Multiple Dependent				140	=	\$0	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid		
103	18	203	9	Claims in excess of 20			
102	84	202	42	Independent claims in excess of 3			
104	280	204	140	Multiple dependent claims, if not paid			
109	84	209	42	**Reissue independent claims over original patent			
110	18	210	9	**Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)				(\$) 0.00			
** or number previously paid, if greater; For reissues, see above.							
				Other fee (specify) _____			
				SUBTOTAL (3) (\$) 785.00			

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Richard R. Eckman	Registration No. (Attorney/Agent)	42,504	Telephone	(650) 813-5654
Signature		Date	September 6, 2002		

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,198	10/26/2001	Peter M. Lloyd	6577-60343

CONFIRMATION NO. 1707

FORMALITIES LETTER



OC000000007633653

COUDERT BROTHERS LLP
Third Floor
600 Beach Street
San Francisco, CA 94109

Date Mailed: 03/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/11/2002 TBESHAH1 00000041 031952 10057198

FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE